



116

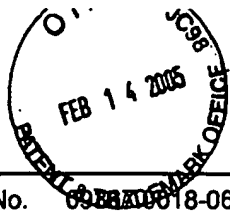
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

| | |
|------------------------------------|-----------------------|
| Atty. Docket No. 088670018-06000 | Appln. No. 09/724,778 |
| Applicants Jeffrey T. FINER et al. | |
| Filing Date November 28, 2000 | Group: 1624 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|---|-----------------|------------|------------------|-------|-----------|----------------------------|
| Examiner Initial* | | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
| TNT | ✓ | 3,962,244 | 06/08/76 | Weyer et al. | 544 | 285 | |
| | ✓ | 5,948,784 | 09/07/99 | Fujiwara et al. | 514 | 260.2 | |
| | ✓ | 4,734,419 | 03/29/88 | Hashimoto et al. | 514 | 266.31 | |
| | ✓ | 5,158,959 | 10/27/92 | Geiger et al. | 514 | 307 | |
| | ✓ | 5,401,766 | 03/28/95 | Geiger et al. | 514 | 307 | |
| | ✓ | 4,859,670 | 08/22/89 | Kampe et al. | 514 | 252.19 | |
| | ✓ | 5,342,944 | 08/30/94 | Mohan et al. | 544 | 284 | |
| | ✓ | 5,939,421 | 08/17/99 | Palanki et al. | 514 | 266.2 | |
| | ✓ | 6,156,758 | 12/05/00 | Kung et al. | 514 | 260 | |
| | ✓ | 6,794,379 B2 | 09/21/04 | Medina et al. | 514 | 183 | |
| | ✓ | 6,245,768 | 06/12/01 | He et al. | 514 | 256 | |
| | ✓ | 2002-0198326 A1 | 12/26/02 | Aoyama et al. | 525 | 240 | |
| | ✓ | 2003-0139457 A1 | 07/24/03 | Baxter et al. | 514 | 357 | |
| | ✓ | 6,562,831 B1 | 05/13/03 | Finer et al. | 514 | 266.3 | |
| | ✓ | 6,630,479 B1 | 10/07/03 | Finer et al. | 514 | 266.3 | |
| | ✓ | 6,831,085 B1 | 12/14/04 | Bergnes et al. | 514 | 266.2 | |
| | ✓ | 6,753,428 B2 | 06/22/04 | Bergnes et al. | 544 | 283 | |
| | ✓ | 2004/0192913 A1 | 09/30/04 | Bergnes et al. | 544 | 284 | |
| | ✓ | 2004/0023996 A1 | 02/05/04 | Finer et al. | 514 | 266.3 | |
| | ✓ | 2004/0082567 A1 | 04/29/04 | McDonald et al. | 514 | 217.07 | |
| | ✓ | 2004/0077668 A1 | 04/22/04 | Feng et al. | 514 | 266.23 | |
| | ✓ | 2004/0077662 A1 | 04/22/04 | Zhou e al. | 514 | 258.1 | |
| | ✓ | 2004/0067969 A1 | 04/08/04 | Bergnes et al. | 514 | 266.3 | |
| | ✓ | 2004/0142949 A1 | 07/22/04 | Bergnes et al. | 514 | 266.2 | |
| | ✓ | 2004/0048853 A1 | 03/11/04 | Bergnes | 514 | 218 | |
| TNT | ✓ | 2004/0116438 A1 | 06/17/04 | Lu et al. | 514 | 281 | |

Wom 9/27/05



246

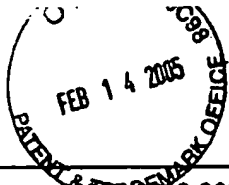
OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|-------------------------|------------|------------|
| Atty. Docket No. | 09/224,778-06000 | Appln. No. | 09/724,778 |
| Applicants | Jeffrey T. FINER et al. | | |
| Filing Date | November 28, 2000 | Group: | 1624 |

| FOREIGN PATENT DOCUMENTS | | | | | | | Inventor |
|--------------------------|---|-----------------------|------------------|---------|-------|-----------|-----------------------|
| | | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
| INT | ✓ | 1. WO 2004/078758 | 09/16/04 | WIPO | — | — | Aquila et. al. |
| | ✓ | 2. WO 03/050122 A2 | 06/19/03 | WIPO | — | — | Fraley et. al. |
| | ✓ | 3. WO 03/050064 A2 | 06/19/03 | WIPO | — | — | Fraley et. al. |
| | ✓ | 4. WO 03/049679 A2 | 06/19/03 | WIPO | — | — | Fraley et. al. |
| | ✓ | 5. WO 03/049678 A2 | 06/19/03 | WIPO | — | — | Fraley et. al. |
| | ✓ | 6. WO 03/049527 A2 | 06/19/03 | WIPO | — | — | Fraley et. al. |
| | ✓ | 7. WO 02/08224 A1 | 01/31/2002 | WIPO | — | — | Davies et. al. |
| | ✓ | 8. 0 373 891A2 | 06-20-1990 | Europe | — | — | Hughes et. al. |
| | ✓ | 9. 1 174 430 A1 | 01-23-2002 | Europe | — | — | Daltroz et. al. |
| | ✓ | 10. 62-135473 | 06-18-1987 | Japan | — | — | Abstract |
| | ✓ | 11. 06049070 A2 | 02-22-1994 | Japan | — | — | Abstract |
| | ✓ | 12. WO 93/23404 A1 | 11-25-1993 | WIPO | — | — | Chan et. al. |
| | ✓ | 13. WO 95/16682 A1 | 06-22-1995 | WIPO | — | — | Shenji et. al. |
| | ✓ | 14. WO 96/39403 A1 | 12-12-1996 | WIPO | — | — | DeCosta et. al. |
| | ✓ | 15. WO 98/29410 A1 | 07-09-1998 | WIPO | — | — | Sarussi et. al. |
| | ✓ | 16. WO 01/95884 A2 | 12-20-2001 | WIPO | — | — | Ashwar et. al. |
| | ✓ | 17. WO 02/14319 A2 | 02-21-2002 | WIPO | — | — | Snow et. al. |
| | ✓ | 18. WO 03/027234 A2 | 04-03-2003 | WIPO | — | — | Baxter et. al. |
| | ✓ | 19. WO 03/079973 A2 | 10-02-2003 | WIPO | — | — | Breslin et. al. |
| | ✓ | 20. WO 03/099211 A2 | 12-04-2003 | WIPO | — | — | Coleman et. al. |
| | ✓ | 21. WO 03/105855 A1 | 12-24-2003 | WIPO | — | — | Arrington et. al. |
| | ✓ | 22. WO 03/106417 A1 | 12-24-2003 | WIPO | — | — | Arrington et. al. |
| | ✓ | 23. WO 2004/004652 A2 | 01-15-2004 | WIPO | — | — | Buser-Doepner et. al. |
| | ✓ | 24. WO 2004/039774 A2 | 05-13-2004 | WIPO | — | — | Coleman et. al. |
| | ✓ | 25. JP 06-148835 | 05-27-1994 | Japan | — | — | Abstract / Kouji |
| | ✓ | 26. WO 03/043995 A1 | 05/30/03 | WIPO | — | — | Yao et. al. |
| INT | ✓ | 27. WO 01/98278 A1 | 12/27/01 | WIPO | — | — | Finer et. al. |

Alison 9/27/05



3/6

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

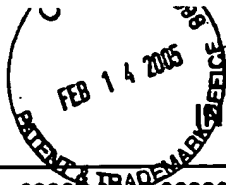
| | | | |
|------------------|-------------------------|------------|------------|
| Atty. Docket No. | 0938A0018-06000 | Appln. No. | 09/724,778 |
| Applicants | Jeffrey T. FINER et al. | | |
| Filing Date | November 28, 2000 | Group: | 1624 |

| FOREIGN PATENT DOCUMENTS | | | | | | | Inventor |
|--------------------------|---|------------------------|------------------|---------|-------|-----------|---|
| | | Document Number | Publication Date | Country | Class | Sub Class | Transition Yes or No |
| TNT | ✓ | 28. WO 03/106426 A1 | 12/24/03 | WIPO | — | — | McDonald et al. |
| | ✓ | 29. WO 2004/064741 A2 | 08/05/04 | WIPO | — | — | Zhou et al. |
| | ✓ | 30. WO 03/094839 A2 | 11/20/03 | WIPO | — | — | Morgan et al. |
| | ✓ | 31. WO 03/070701 A2/A3 | 08/28/03 | WIPO | — | — | Bergnes et al. |
| | ✓ | 32. WO 2004/009036 A2 | 01/29/04 | WIPO | — | — | Bergnes et al. |
| | ✓ | 33. WO 2004/034972 A2 | 04/29/04 | WIPO | — | — | Bergnes et al. |
| TNT | ✓ | 34. WO 2004/018058 A2 | 03/04/04 | WIPO | — | — | Bergnes et al. |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.) | |
|---|---|
| TNT | ✓ 35. Hart et al. "Synthesis of (-)-Alantrypinone," <i>Tet. Lett.</i> 40: 5429-5432 (1999) . |
| | ✓ 36. El-Maghraby et al. "Synthesis of Glycylaminothiazoles" <i>Ind. J. Chem.</i> 12:1058-1059 (1974) . |
| | ✓ 37. Hassan et al. "Synthesis and antimicrobial activity of some new <i>N</i> -aminoacyl derivatives of 2-amino-4-phenylthiazole" <i>Acta Pharm.</i> 47:159-166 (1997) . |
| TNT | ✓ 38. West, "Solid State Chemistry and it's Applications," Wiley, New York, 1988, pages 358 & 365. |
| | 39. Office Action mailed May 7, 2001, for U.S. Patent Application No. 09/699,047, filed October 24, 2000. |
| | 40. Office Action mailed April 24, 2002, for U.S. Patent Application No. 09/699,047, filed October 24, 2000. |
| | 41. Notice of Allowance mailed October 17, 2002, for U.S. Patent Application No. 09/699,047, filed October 24, 2000. |
| | 42. Office Action mailed February 6, 2002, for U.S. Application No. 09/724,644, filed November 28, 2000. |
| | 43. Office Action mailed October 21, 2002, for U.S. Application No. 09/724,644, filed November 28, 2000. |
| | 44. Notice of Allowance mailed February 4, 2003, for U.S. Application No. 09/724,644, filed November 28, 2000. |
| | 45. Office Action mailed February 6, 2002, for U.S. Application No. 09/724,712, filed November 28, 2000. |
| | 46. Office Action mailed April 9, 2002, for U.S. Application No. 09/724,713, filed November 28, 2000. |
| | 47. Office Action mailed October 21, 2002, for U.S. Application No. 09/724,713, filed November 28, 2000. |
| | 48. Notice of Allowance mailed February 6, 2003, for U.S. Application No. 09/724,713, filed November 28, 2000. |

Inappropriate citations

[Signature] 9/27/05



4/6

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

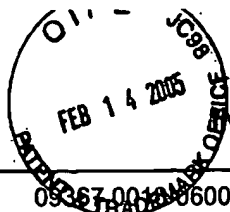
| | | | |
|------------------|-------------------------|------------|------------|
| Atty. Docket No. | 09367.0018-06000 | Appln. No. | 09/724,778 |
| Applicants | Jeffrey T. FINER et al. | | |
| Filing Date | November 28, 2000 | Group: | 1624 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

| | |
|-----|---|
| 49. | Office Action mailed March 22, 2002, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 50. | Office Action mailed December 17, 2002, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 51. | Office Action mailed July 11, 2003, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 52. | Office Action mailed October 27, 2003, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 53. | Office Action mailed May 12, 2004, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 54. | Notice of Allowance mailed October 14, 2004, for U.S. Application No. 09/724,897, filed November 28, 2000. |
| 55. | Office Action mailed July 26, 2002, for U.S. Application No. 09/724,941, filed November 28, 2000. |
| 56. | Office Action mailed January 13, 2003, for U.S. Application No. 09/724,941, filed November 28, 2000. |
| 57. | Office Action mailed January 7, 2004, for U.S. Application No. 09/724,941, filed November 28, 2000. |
| 58. | Notice of Allowance mailed April 28, 2004, for U.S. Application No. 09/724,941, filed November 28, 2000. |
| 59. | International Search Report mailed February 22, 2001, for PCT Application No. PCT/US00/29585, filed October 26, 2000. |
| 60. | Written Opinion mailed September 21, 2001, for PCT Application No. PCT/US00/29585, filed October 26, 2000. |
| 61. | International Preliminary Examination Report mailed January 17, 2002, for PCT Application No. PCT/US00/29585, filed October 26, 2000. |
| 62. | Office Action mailed August 8, 2003, for U.S. Application No. 10/300,967, filed November 20, 2002. |
| 63. | Notice of Allowance mailed December 11, 2003, for U.S. Application No. 10/300,967, filed November 20, 2002. |
| 64. | International Search Report mailed February 7, 2003, for PCT Application No. PCT/US02/37410, filed November 20, 2002. |
| 65. | Written Opinion mailed September 9, 2003, for PCT Application No. PCT/US02/37410, filed November 20, 2002. |
| 66. | International Preliminary Examination Report mailed August 11, 2004, for PCT Application No. PCT/US02/37410, filed November 20, 2002. |
| 67. | International Search Report mailed October 31, 2002, for PCT Application No. PCT/US01/13901, filed April 27, 2001. |
| 68. | International Search Report mailed October 17, 2003, for PCT Application No. PCT/US03/18778, filed June 12, 2003. |
| 69. | Office Action mailed October 18, 2004, for U.S. Application No. 10/462,002, filed June 12, 2003. |

Inappropriate Citations

U. S. P. O. 9/27/05



516

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|-------------------------|------------|------------|
| Atty. Docket No. | 09367-001006000 | Appln. No. | 09/724,778 |
| Applicants | Jeffrey T. FINER et al. | | |
| Filing Date | November 28, 2000 | Group: | 1624 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

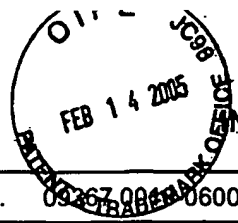
| | |
|-----|--|
| 70. | Written Opinion mailed March 2, 2004, for PCT Application No. PCT/US03/18778, filed June 12, 2003. |
| 71. | International Preliminary Examination Report mailed September 8, 2004, for PCT Application No. PCT/US03/18778, filed June 12, 2003. |
| 72. | International Search Report and Written Opinion mailed December 6, 2004, for PCT Application NO. PCT/US04/01279, filed January 20, 2004. |
| 73. | International Search Report mailed August 29, 2003, for PCT Application No. PCT/US03/14787, filed May 9, 2003. |
| 74. | Written Opinion mailed June 10, 2004, for PCT Application No. PCT/US03/14787, filed May 9, 2003. |
| 75. | International Preliminary Examination Report mailed November 16, 2004, for PCT Application No. PCT/US03/14787, filed May 9, 2003. |
| 76. | International Search Report mailed July 16, 2004, for PCT Application No. PCT/US03/13627, filed May 2, 2003. |
| 77. | Office Action mailed November 2, 2004, for U.S. Application No. 10/366,828, filed February 14, 2003. |
| 78. | International Search Report mailed August 12, 2003, for PCT Application No. PCT/US03/04713, filed February 14, 2003. |
| 79. | Written Opinion mailed June 24, 2004, for PCT Application No. PCT/US03/04713, filed February 14, 2003. |
| 80. | International Preliminary Examination Report mailed December 8, 2004, for PCT Application No. PCT/US03/04713, filed February 14, 2003. |
| 81. | International Search Report mailed July 9, 2004, for PCT Application No. PCT/US03/23319, filed July 23, 2003. |
| 82. | International Preliminary Examination Report mailed September 30, 2004, for PCT Application No. PCT/US03/23319, filed July 23, 2003. |
| 83. | International Search Report mailed May 20, 2004, for PCT Application No. PCT/US03/26093, filed August 20, 2003. |
| 84. | International Preliminary Examination Report mailed August 5, 2004, for PCT Application No. PCT/US03/26093, filed August 20, 2003. |
| 85. | Office Action mailed January 4, 2005, for U.S. Application No. 10/444,283, filed May 22, 2003. |
| 86. | International Search Report mailed December 18, 2003, for PCT Application No. PCT/US03/16500, filed May 22, 2003. |
| 87. | International Preliminary Examination Report mailed June 23, 2004, for PCT Application No. PCT/US03/16500, filed May 22, 2003. |
| 88. | Bergnes et al., "Compounds, Compositions, and Methods," U.S. Patent Application No. 10/980,627, filed November 2, 2004. |

Inappropriate citations

[Handwritten signature]

9/27/05

6/6



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

Inappropriate citations on PTO-892

| | | | |
|------------------|-------------------------|------------|------------|
| Atty. Docket No. | 09267, 0041, 06000 | Appln. No. | 09/724,778 |
| Applicants | Jeffrey T. FINER et al. | | |
| Filing Date | November 28, 2000 | Group: | 1624 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.) | |
|---|---|
| 89. | Bergnes, "Compounds, Compositions, and Methods," U.S. Patent Application No. 10/982,195, filed November 5, 2004. |
| 90. | Bergnes, "Compounds, Compositions, and Methods," U.S. Patent Application No. 11/608,620, filed December 7, 2004. |
| 91. | Office Action mailed February 7, 2005, for U.S. Application No. 10/435,069, filed May 8, 2003. |

| | | | |
|--|--------------------|---|---------|
| Examiner | <i>[Signature]</i> | Date Considered | 9/27/05 |
| <p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | | | |
| Form PTO 1449 | | Patent and Trademark Office - U.S. Department of Commerce | |